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# Antimicrobial stewardship in residential aged care facilities: A Systematic Review

# Ali Akhtar<sup>1</sup>, Amer Hayat Khan<sup>1,\*</sup>, Samreen Fatima<sup>2</sup>, Mohamad Azmi Hassali<sup>1</sup>



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<sup>1</sup>School of Pharmaceutical Sciences, Universiti Sains Malaysia, 11800 Penang, Malaysia

<sup>2</sup>University College of Pharmacy, University of the Punjab, Lahore, Pakistan

#### Correspondence

Amer Hayat Khan, School of Pharmaceutical Sciences, Universiti Sains Malaysia, 11800 Penang, Malaysia

Email: dramer2006@gmail.com

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#### ABSTRACT

Background: Antimicrobial Stewardship (AMS) programs are increasingly implemented in healthcare facilities to reduce inappropriate use of antibiotics. Inappropriate use of antimicrobials is one of the leading causes of increasing antimicrobial resistance (AMR). As such, decreasing inappropriate use of antimicrobials can reduce AMR. The main objective of this systematic review was to identify the effectiveness of AMS interventions in reducing inappropriate antimicrobial use in residential aged care facilities (RACFs). Methods: Multiple databases were searched to identify studies evaluating the effectiveness of AMS interventions in RACFs. Descriptive studies and those, which did not report an outcome measure related to antibiotic usage, were excluded. Results: Overall, 6505 studies were identified and 17 were included. Most of the studies were randomized controlled trials and single faceted interventions. All of the interventions were education and training targeted at physicians, nurses or both about appropriate use of antimicrobials in RACFs. The studies reported a high success rate with 68% of interventions being successful while 32% of interventions were found to be ineffective. **Conclusion:** Educating and training nurses and physicians about evidence-based management of infectious diseases may lead to a reduction in inappropriate antimicrobial use in RACFs. The likelihood of success increases with targeting physicians - either as the sole recipient of the intervention or together- with nurses.

Key words: Antimicrobials, Interventions, Residential aged care facilities, Stewardship

# **INTRODUCTION**

The World Health Organization (WHO) declared antimicrobial resistance (AMR) as one of the threats to human health with an estimated mortality amounting to 10 million deaths annually by  $2050^{1,2}$ . The causes of AMR are multifactorial. Inappropriate use of antimicrobials is one of the modifiable factors responsible for increasing AMR. The correlation between AMR and inappropriate antimicrobial use has been reported by numerous studies<sup>3–6</sup>. Moreover, more than half of the antimicrobials used in residential aged care facilities (RACFs) were inappropriate, according to different international studies<sup>7–10</sup>. Worldwide, it is estimated that 700,000 deaths every year are due to AMR<sup>11</sup>. Decreasing inappropriate use of antimicrobials can reduce AMR.

A number of factors are responsible for the significant increase in AMR including a lack of commercial production of new antimicrobials, wide use of antimicrobials in agriculture, and inappropriate or over usage of antimicrobials<sup>11</sup>. Approximately, 1.5 billion euros are spent annually in Europe<sup>12</sup> and \$200 million in Canada due to AMR<sup>13</sup>. The government of the United Kingdom published a 5-year Antimicrobial Resistance Strategy (2013-2018) to overcome the

#### burden of AMR<sup>14</sup>.

Due to high usage of antibiotics, antimicrobialresistant organisms are highly prevalent in RACFs<sup>15,16</sup>. There are about 1.4 million people living in RACFs in the United States of America (USA)<sup>17</sup>, 2 million in Canada, and 1.24 million in the UK<sup>18</sup>. Approximately, 1.6 to 3 million cases of infections were diagnosed every year in the US nursing homes<sup>19</sup>. Many infections become difficult to diagnose and treat, which is a major cause of mortality and morbidity in nursing homes. This difficulty is mainly due to development of resistance or changes in the causative agents of infections<sup>20</sup>. The most common infections in RACFs are lower respiratory tract infections (LRTIs), urinary tract infections (UTIs), skin and soft tissues infections (SSTIs), and gastroenteritis (GE)<sup>19</sup>. There is a 40-70% chance of exposure to at least one course of antibiotics if a resident resides in RACFs for 6 months<sup>21,22</sup>.

Some studies reported that about half of the antibiotics used in RACFs are potentially inappropriate or unnecessary<sup>22–27</sup>. The inappropriateness is mainly linked with the adequacy of empiric antibiotic choice, wrong dose, and prolonged duration of antibiotic

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use <sup>28,29</sup>. In the EU countries, it is estimated that 57% of antibiotics are used in upper respiratory tract infections, followed by 30% in lower respiratory tract infections and 7% in urinary tract infections<sup>30</sup>. In many RACFs, the decision on prescribing antibiotics is made off-site by telephone, depending on limited laboratory and clinical information, and therefore, highly influenced by staff members of these facilities <sup>31–33</sup>. Pressure from nursing home staff and family members leads to unnecessary prescribing of antibiotics in the American, Canadian and Australian RACFs<sup>31–34</sup>. Responding to the widespread over-use and inappropriate use of antimicrobials, several countries have introduced quality initiatives in a bid to reduce AMR <sup>35,36</sup>.

Antimicrobial Stewardship (AMS) is a collective term used to make strategies to reduce the inappropriateness of antimicrobial use and minimize the adverse effects of the antimicrobials, which include toxicity, cost, and resistance<sup>37</sup>. AMS programs have been recommended all over the world for the better prevention and management of infections in RACFs<sup>38</sup>. A range of interventions has been developed to improve antibiotic use in RACFs; however, there is a limited understanding of the outcomes of those interventions. There is uncertainty among healthcare providers concerning optimal approaches to improve antibiotic use in RACFs<sup>39</sup>. To the best of our knowledge, there is no systematic review investigating AMS interventions in RACFs. The aim of this systemic review was to systematically identify and evaluate the effectiveness of AMS interventions in RACFs.

#### **METHODS**

#### Search strategy and data source

We searched CINAHL, Pubmed, Cochrane and Embase databases from inception through February 2018. A combination of Medical Subject Heading (MeSH) terms and keywords like "home for the aged", "nursing home", "residential care", "long-term care" and "infections" were used as search terms. Search details are provided in the appendix. To identify any additional relevant publications, a cross-reference search from included studies was also conducted. The guidelines of Preferred Reporting Items for Systematic Reviews and Meta-analyses (PRISMA) **Figure 2** were followed for this systematic review<sup>40</sup>. The PRISMA checklist is attached in the appendix.

## **Selection of studies**

Titles and abstracts were screened for the identification of relevant studies. Interventions related to infections in RACFs were included in this review. Potential articles were assessed independently for inclusion (AA and SF) and any disagreement was resolved by discussion with a third reviewer (AHK).

Studies published in English in peer-reviewed journals investigating infection-related interventions conducted in RACFs were included (**Figure 1**).

#### Data extraction and analysis

One reviewer (AA) extracted relevant data from included studies with the help of Endnote software, and this was verified by a second reviewer (SF). All the extracted information was saved in the Endnote library. Extracted information from the selected studies included author, publication year, study design and setting, country of origin, type of interventions, and the outcomes and findings based on the interventions (**Table 1**).





Table 1: List of Included Studies in the Systematic Review							
Reference, year, country	Study Design	Interventions	Outcomes	Findings			
Education + active surveillance/ audit and feed back							
Mody <i>et al.</i> , 2015 <sup>41</sup> USA	Randomized clinical	NH staff education and active	Prevalence of MDRO and	Significant reduction in prevalence of MDRO			
	trial, 12 community-	surveillance of resistant infec-	MRSA	[(23%) (rate ratio, 0.77; 95%CI, 0.62-0.94; p = 0.01)]			
	based NHs	tions (MDRO).		and MRSA [(22%) rate ratio, 0.78; 95% CI, 0.64-0.96; p = 0.01]			
Zimmerman et al. <sup>42</sup> , 2014	Quasi-experimental	Educational sessions for physi-	Antibiotic prescribing rates	Antibiotic prescription decrease by 11.1 prescrip-			
USA	trial, 12 NHs	cians, nurses and families of	for different infections.	tion per 1000 residents-days and 1.8 prescriptions			
		residents along with feedback.		avoided per 1000 resident-days.			
Pettersson et al. <sup>43</sup> , 2011	Cluster randomized	Education on antibiotic pre-	Prescription of quinolones for	Significant decrease in quinolones prescription in			
Sweden	controlled trial, 58 NHs	scribing with educational ma-	lower UTIs in women.	IG -0.196 (95% CI, -0.338, -0.054) and CG -0.224			
		terials, audit and feedback.		(95% CI -0.394, -0.054).			
Baldwin <i>et al</i> . <sup>44</sup> , 2010 UK	Cluster randomised	Infection control training,	Prevalence of MRSA among	Prevalence of MRSA was non-significant however,			
	controlled trial, 32 NHs	demonstration on hand	residents and staff, along with	mean infection audit score was increased (82%) (p			
- 45		hygiene, audit and feedback.	audit.	< 0.0001) at 12 months.			
Monette <i>et al.</i> <sup>45</sup> , 2007	Cluster randomized,	Mailing of antibiotic guide	Adherence to antibiotic pre-	Physicians were 64% less likely to prescribe non-			
Canada	controlled trial, 8 LTC	along with previous 3 months	scribing guidelines.	adherent antibiotics in IG (OR = 0.36, 95% CI, 0.18-			
	facilities	antibiotic prescribing pattern		0.73).			
		to 36 physicians twice with 4 months difference.					
Implemented Educational program							
Van Gaal <i>et al.</i> <sup>46</sup> , 2010	Cluster randomised	The educational material con-	The test score of nurses for	Non-significant UTIs knowledge was observed			
Netherlands	trial, 10 Hospital wards	sisted of an educational com-	pressure ulcers and UTIs.	[0.17 points (95% CI; -0.31 to 0.65)] however;			
	and 10 nursing home	pact disc for nurses.		knowledge on pressure ulcers was statistically sig-			
	wards			nificant [0.45 points (95% CI; 0.10-0.81)].			
Zabarsky <i>et al.</i> <sup>47</sup> ,	Before/ After, Single	Education to physicians about	Treatment of ASB and appro-	Inappropriate treatment reduces from 1.7 to 0.6 per			
2008 USA	LTCF	diagnosis and treatment of	priateness of urine cultures.	1000 patient days ( $p = 0.0017$ ) and urine cultures			
		ASB and nurses about the		decreased from 2.6 to 0.9/1000 patient days (p <			
		urine cultures for UTIs.		0.0001).			

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Table 1 continued							
Reference, year, country	Study Design	Interventions	Outcomes	Findings			
Hasson <i>et al.</i> <sup>48</sup> , 2006 Swe- den	Prospective, non- randomized, controlled intervention, 2 elderly care organizations	16 item educational tool for NH staff and residents families for management of infections.	The effect of the educational intervention on NH staff, resi- dents and their families to im- prove the quality of care.	There were no significant changes in quality of care as perceived by residents or their families.			
Education along with dedic	ated support						
Van Buul <i>et al.</i> <sup>49</sup> , 2015 Netherlands	Quasi-experimental, be- fore/after, 10 NHs	Improve physician knowledge, physician-nursing staff com- munication, Optimizing med- ication formularies and utiliza- tion of diagnostic resources.	Appropriate decisions regard- ing prescribing or withhold- ing antibiotics for every infec- tion.	No pre- post- test difference in appropriate pre- scribing decisions in the intervention group (82% to 79%; $p = 0.28$ ).			
Jump <i>et al.</i> <sup>50</sup> , 2012 USA	Before/After, 4 LTCFs	LID consult team along with 24 hours telephone support.	Total use of antimicrobials in LTCFs.	Reduction in the use of antimicrobials by $30.1\%$ (p < 0.001).			
Daly <i>et al.</i> <sup>51</sup> , 1992 USA	Before/After, 3 NHs	Two days infection control training along with telephone support.	To evaluate the knowledge and practices of NH staff re- garding management of infec- tions.	Knowledge: after training overall a 25% increase in correctly answered questions (F = 1024; df = 1/263; p < 0.0001). Significant time spent on infection control (F = 37; df = 1/209; $p < 0.0001$ ) after training than before training. Significant increase in practices for all training sites (F = 139.5; df = 1/209, $p < 0.0001$ ).			
Linnebur <i>et al.</i> <sup>52</sup> , 2011 USA	Quasi-experimental study, 16 NHs	Academic detailing to physi- cians by pharmacists and ed- ucational sessions for nurses regarding diagnostic and pre- scribing practices of NHAP.	Adherence to antibiotic guidelines.	Antibiotic guidelines adherence increases from 60% to 66% (p = 0.3).			
Development and Implementation of local guidelines							

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Table 1 continued								
Reference, year, country	Study Design	Interventions	Outcomes	Findings				
Rummukainen <i>et al</i> . <sup>53</sup> ,	Before/After	Development of guidelines for	Antibiotic prophylaxis used	Decreased the use of antibiotics for UTIs from 13%				
2012 Finland	39 NH	diagnostic practices for UTIs and educating NH staff about	for UTIs.	to 6%.				
		them.						
Schwartz <i>et al</i> . <sup>54</sup> , 2007 USA	Quasi-experimental	Teaching sessions for physi-	Management of infections	Charted clinical abnormalities met guideline diag-				
	before-after	cians about guidelines for management of infections.	along with treatment based on the guidelines.	nostic criteria: $62\%$ vs $38\%$ (p = 0.006) and treat- ment based on guidelines: $39\%$ vs $11\%$ (p < 0.001).				
Naughton et al. <sup>55</sup> , 2001	Randomized controlled	NHAP guidelines develop-	Antibiotic adherence.	No significant difference was found between pre				
USA	trial, 10 Skilled nursing	ment and educating physicians		and post intervention groups ( $p = 0.86$ ).				
	facilities	and nurses (pocket booklets).						
<b>Decision Support Tools</b>								
Fleet <i>et al.</i> <sup>56</sup> , 2014 UK	Cluster randomized	Introduction of Resident	Change in use of systemic an-	Significantly decreased in prescription rate by 4.9%				
	control, 30 NHs	Antimicrobial Management Plan (RAMP) antimicrobial stewardship tool completed by nursing staff for all residents.	tibiotics for the treatment of infections.	(95% CI 1.0% to 8.6%; p = 0.02).				
Loeb et al. <sup>57</sup> , 2005 Canada	Cluster randomised	Specific algorithms for physi-	Antimicrobials prescribed for	1. The rate of antimicrobial use for suspected uri-				
and USA	controlled trial, 24 NHs	cians and nurses regarding	suspected UTIs, antimicro-	nary tract infection was significantly lower in the				
		UTIs, individual interviews with physicians.	bials leading to hospital ad- missions or death.	intervention arm than in usual care arm (1.17 courses of antimicrobials per 1000 resident days prescribed vs 1.59; weighted mean difference -0.49,				
				95% CI -0.93 to -0.06).				
				sions to hospital or mortality between the study				
				arms.				

NH: nursing home, UTI: urinary tract infection, LTCFs: Long-term carefacilities, NHAP: nursing home acquired pneumonia, MRSA: methicillin-resistant Staphylococcus aureus, MDRO: multi-drugresistant organisms, NHAP: nursing home acquired pneumonia, LID: Long-term care facilities Infectious Disease.

#### Quality assessment

Two reviewers (AA and SF) independently carried out the quality assessment of included studies by using the Effective Public Health Practice Project (EPHPP) Quality Assessment Tool<sup>58</sup> (*Appendix*). This tool evaluates six quality-related domains like study design, selection bias, blinding, confounders, withdrawals and dropouts, and data collection methods. Any disagreement was resolved by discussion with a third reviewer (AHK).

## RESULTS

#### **Description of included studies**

Seventeen articles met the inclusion criteria (**Figure 1**). **Table 1** summarizes the study characteristics. Most studies were conducted in North America with 8 in the USA  $^{42,45,47,50-52}$ , 1 in Canada  $^{57}$ , and 1 as a multicenter study in the USA and Canada  $^{44}$ . The remaining 7 studies were conducted in Europe with 2 in the United Kingdom  $^{46,56}$ , 2 each in the Netherlands  $^{43,48}$  and Sweden  $^{49,53}$ , and 1 in Finland  $^{58}$ .

Most of the studies (n = 10) were randomized controlled trials (RCTs) and 7 studies were quasiexperimental trials. Two out of 10 RCTs were standard RCTs while 8 were cluster RCTs (c-RCTs). Most quasi-experimental trials (n=7) were before-and-after study design. The number of included RACFs varied greatly from 2 RACFs to 58 RACFs (**Table 1**).

## **Types of interventions**

Most studies used educational interventions (70.6 %, n = 12), of which 4 studies used an educational component along with a support (through dedicated personnel), 5 studies used an educational component in addition to an active surveillance of resistant infections and/or audit and feedback, while 3 studies implemented an education only program. The remaining studies employed interventions related to the development and implementation of local guidelines (17.7 %, n = 3) and the use of decision support tools (11.7 %, n = 2) (**Table 1**).

#### **Educational interventions**

Three studies<sup>43,50,53</sup> that implemented education only interventions introduced an educational compact disc (CD)<sup>43</sup>, a 16-item instrument<sup>53</sup> for nursing staff to improve the knowledge regarding infections, and an educational support to physicians and nurses about the diagnosis and treatment of asymptomatic bacteriuria (ASB) and the urine cultures for UTIs, respectively. The first two interventions did not provide a statistically significant increase in knowledge of nursing staff, but the third intervention reduced inappropriate treatment of ASB from 167.1 to 117.4/1000 patient days (p<0.001) and the number of urine cultures decreased from 2.6 to 0.9/1000 patient days (p<0.0001).

Four studies included educational interventions along with dedicated personnel support<sup>48,51,52,54</sup>. One of the interventions was to improve the communication of the physicians and nurses through discussion meetings regarding the management of infections in RACFs<sup>48</sup>. Medication formularies were optimized along with correct utilization of diagnostic tools to support decisions whether or not to prescribe or withhold antibiotics for any infection. There was no prepost test difference in appropriate prescribing decisions in the intervention group (IG) (82% to 79%; p = 0.28). Moreover, appropriateness in the control group (CG) was also not increased (70% to 77%; p = 0.06). One study investigated the effect of twoday infection control training on nursing staff to evaluate their knowledge and practices about the management of infections in RACFs<sup>52</sup>. This intervention increased the knowledge of nursing staff by 25% (F =1024; df =1/263; p<0.0001) and significantly improved nurses' practices regarding the treatment of infections (F =139.5; df = 1/209, p<0.0001). In a study investigating the effects of an infectious disease control team with 24 hr telephone support to improve the appropriate use of antimicrobials, post-intervention inappropriate use was reduced by 30.1% (p<0.001)<sup>51</sup>. In another study, pharmacists gave academic detailing to physicians and provided educational sessions to nurses to improve their adherence to nursing homeacquired pneumonia (NHAP) guidelines<sup>54</sup>. Adherence score for optimal antibiotic use increased from 60% to 66% but it was not statistically significant (p = 0.3) and adherence to guidelines regarding the use of antibiotics within the first 4 hours of NHAP diagnosis increased from 57% to 75% (p<0.001).

Five studies involved educational interventions along with active surveillance and audit/feedback for better management of infections in RACFs. In one study, mailing of an antibiotic guide with the past 3-month prescribing patterns improved the use of antibiotics. Post-intervention findings revealed that physicians were 64% less likely to prescribe non-adherent antibiotics in the IG (OR = 0.36, 95% CI, 0.18-0.73)<sup>57</sup>. In another study, educational materials including leaflets and handouts for physicians, followed by audit and feedback, resulted in a significant decrease in quinolone prescription in IG -0.196 (95% CI, -0.338, -0.054) and CG -0.224 (95% CI -0.394, -0.054)<sup>49</sup>. Two

studies investigated the effect of education on nursing staff about infections caused by multi-drug resistant organisms (MDRO) and methicillin-resistant Staphylococcus aureus (MRSA)<sup>42,56</sup>. One study<sup>42</sup> conducted active surveillance for MDRO related infections while other another study<sup>56</sup> conducted infection control audits. MDRO prevalence decreased by 23% in the IG (rate ratio RR 0.77; 95% CI, 0.62-0.94)<sup>42</sup>, while there was no significant difference in MRSA infections<sup>56</sup>. The final intervention included educational training for physicians and nurses regarding antibiotic-prescribing guidelines. Feedback on antibiotic prescribing was also shared with the healthcare professionals. This intervention helped to reduce prescriptions for antibiotics in RACFs [IRR 0.86 (95% CI 0.79 - 0.90)].

# Development and implementation of local guidelines

Three out of 17 studies developed and implemented guidelines for the prevention and management of infections in RACFs<sup>45,58,59</sup>. Two studies developed and implemented guidelines to improve antimicrobial prescribing in nursing homes for infectious diseases, including NHAP and UTIs<sup>45,58</sup>. Adherence to NHAP guidelines increased significantly in the post-intervention group (p<0.02)<sup>45</sup>, while the use of antibiotics decreased from 13% to 6% (p<0.001) in the case of UTIs<sup>58</sup>. In one study, teaching sessions were conducted for physicians on the guidelines for the management of infections. These sessions met guideline diagnostic criteria: 62% vs 38% (p = 0.006), and treatment based on guidelines: 39% vs 11% (p<0.001)<sup>59</sup>.

## **Decision support tools**

One study introduced a Resident Antimicrobial Management Plan (RAMP) to improve the use of antibiotics in nursing homes. The purpose of RAMP was to document the prescribing, administration, and monitoring of antimicrobials<sup>46</sup>. The RAMP decreased 4.9% (95% CI: 1.0% - 8.6%) of the antibiotic prescription in the intervention group as compared to the control group. Another study introduced diagnostic and therapeutic algorithms for UTIs which resulted in a significant decrease in the use of antimicrobials in the intervention arm than in usual care arm (1.17 courses of antimicrobials per 1000 resident days prescribed vs 1.59; weighted mean difference -0.49, 95% CI -0.93 to -0.06)<sup>44</sup>.

## Features of successful interventions

Figure 1 shows a breakdown of interventions and their relative successfulness in improving the use of antibiotics in RACFs. Of all educational interventions (n=12), 9 interventions (64.3%) were successful in improving the antibiotic use. However, it is noteworthy to mention that educational interventions that were combined with other interactive active surveillance/audit/feedback (80%) and personnel support (66.7%) were more effective than education only interventions (33.3%). Other interventions, such as development and implementation of guidelines (n=2) and the use of decision support tools (n=2), were all successful in improving antimicrobial use. Studies that used quasi-experimental design implemented more interventions successfully (75%) than studies using randomized control trial (70%).

#### **Quality Assessment**

According to the Effective Public Health Practice Project (EPHPP) quality assessment tool, most of the studies (n = 13) were rated as moderate quality (*Appendix*). The reason behind this is the information lacking about the blinding of the studies  $^{43-46,48,51,52,56}$ . The remaining four studies were rated as strong quality since the information in these studies was very clear and comprehensive  $^{41,42,55,57}$ .

# DISCUSSION

#### Importance of this review

Advances in healthcare mean that the relative proportion of people living in RACFs is likely to increase over the considerable foreseeable future. Emerging reports of increasing antimicrobial resistance in RACFs is a growing concern amongst the residents, healthcare professionals, and managers of RACFs. Not surprisingly, there has been an increasing interest in the development and implementation of AMS initiatives in the RACFs worldwide. Since there was a paucity of information regarding the successful interventions to improve antimicrobial use in RACFs, this systematic review was conducted to provide an overview of different infection-related interventions in RACFs all over the world.

#### Principal findings

This systematic review found seventeen studies that assessed the interventions to improve antimicrobial use in RACFs. The educational interventions combined with other strategies and the multifaceted interventions mostly showed consistent and positive effects in improving the use of antimicrobial in RACFs.

#### **Comparison with existing literature**

In the current systematic review, educational strategies were the most frequently used intervention to improve the use of antimicrobials in RACFs. Similar findings were reported by another review that evaluated quality interventions in the outpatient setting<sup>60</sup>. However, the referenced study reported some form of clinicians' education (n=27) and patients' education (n=18), while most studies in the current review mainly focussed on healthcare professionals' education. The importance of multidisciplinary interventions targeting different stakeholders of the health system, including patients, has been emphasised elsewhere <sup>54</sup>. The findings of this review show that educational interventions supplemented with other strategies were more successful compared with education only interventions. Previous reviews have also highlighted the limited impact of education only strategies in ambulatory settings<sup>61,62</sup>. This finding can be best explained by the multifactorial nature of inappropriate antibiotic prescribing that demands the implementation of multi-intervention addressing the root cause of inappropriate prescribing. Simply diverting the clinicians' attention towards their current behaviour through audits, prescribing feedback, or suggesting an alternate behaviour may not help the cause of antimicrobial stewardship<sup>63</sup>. Similarly, the positive effects of implementing guidelines and decision support tools in RACFs can be compared with studies conducted in other settings which reported the potential of these interventions in improving antimicrobial prescribing practices 64-66.

#### **Educational interventions**

Given the broad array of educational interventions, it is difficult to arrive at specifically applicable educational interventions to improve antibiotic use in RACFs. Generally, educational interventions are classified as active or passive interventions, depending on their nature and the way of implementation. Passive interventions have been least successful in improving the use of antibiotics in inpatient and ambulatory settings<sup>63,66</sup>. However, there is a paucity of information about the supplementary effects of passive education along with other interventions. The use of reference cards to improve the knowledge of physicians

and nurses was the only successful education-only intervention in this review. Though this intervention was more inclined towards a passive mode of providing education, it had the potential to shape or change behaviour of healthcare professionals towards antibiotic prescribing. The conventional way of providing the education to improve the knowledge of clinicians is not sufficient. However, if the education can effectively change the attitudes or behaviour of clinicians towards antimicrobial prescribing, it can certainly play a crucial role in antimicrobial stewardship. The similar concept of education can also be applied to education in hospital infection prevention, clinical performance improvement, and public health<sup>67</sup>. It was noted in this systematic review that educationwhen combined with other dynamic and interactive interventions, such as active surveillance of resistant infection, audit, and feedback- and educational training sessions resulted in significant improvement in antimicrobial use. The use of educational interventions to improve antimicrobial use in RACFs is still in its infancy stage. Overall, well-designed educational interventions have great potential to improve antimicrobial prescribing in RACFs. Future educational strategies should target the behavioral change of clinicians towards antimicrobial prescribing.

## Development and implementation of local guidelines

Non-educational interventions have proven to be effective in improving antimicrobial prescribing practices. Development and implementation of guidelines were effective in decreasing antibiotic use in RACFs. However, it is important to note that two studies that supported this finding employed multifaceted interventions. Along with the implementation of guidelines, one study<sup>58</sup> employed an interpersonal communication between a team of infectious disease experts and nursing home staff while in another study<sup>45</sup>, small group sessions were conducted with physicians and nurses about antimicrobial guidelines. Therefore, it is suggested that implementation of guidelines alone may not be effective to improve antibiotic use in a facility. Multifaceted strategies for increased dissemination, awareness, and uptake of medicines can augment the effectiveness of guidelines in strengthening antimicrobial stewardship programs.

#### Use of decision support tools

The use of decision support tools (RAMP and diagnostic and treatment algorithm) significantly reduced the rate of antimicrobial prescribing in RACFs. However, the use of RAMP was supplemented with a written educational material<sup>46</sup>. Besides, the use of diagnostic and treatment algorithm was employed through multifaceted interventions, including group discussions, printed educational material, outreach visits, and interviews. Caution should be made in generalizing the findings of Loeb et al. since the study only looked at the antimicrobial prescribing for UTIs. Further advancement could be made by incorporating computerized clinical decision support system in RACFs. The implementation of this system in hospital settings has shown significant improvement in reducing the use of broad-spectrum antibiotics<sup>68</sup>. The development of computer-based clinical decision support system could be beneficial for an antimicrobial stewardship program in RACFs.

## Study design and quality

The use of randomized controlled trials in evaluating the effect of interventions in an antimicrobial stewardship program provides strong evidence because of the ability to account for confounding factors. The use of cluster randomized control trials also helps to improve the internal and external validity of the results; however, their design and statistical analysis are more complex than the standard patient-level randomized control trials. Most studies in this review used cluster randomized control trials but the success rate was lowest among all other study designs. The use of complex design might have played a role in the outcomes of interventions. The issue of clustering in determining the sample size was apparent in the reviewed studies. The methodological weakness including the blinding, allocation status, and adherence to guidelines on methodological quality was also observed in the reviewed studies. It is also important to discuss cross-contamination that may have occurred between intervention and control group. It can happen if the participants in both groups have close personal or professional relationship, in which intervention can be shared with the control group which may give false negative results. It is important to investigate whether or not the use of complex interventions using c-RCTs is more, less, or equally effective than standard RCTs in RACFs.

Quasi-experimental design is often used to evaluate the effects of population-level interventions, but the strength of this design varies according to design features. Therefore, it is suggested that a higherorder design should be used when conducting quasiexperiments. Interrupted time series (ITS) is considered as the most robust design for causal inference. Only one study in this review used ITS in combination with before-and-after study design. Though the implementation of successful interventions was higher in studies using QE designs, studies with stronger methodological quality are required to increase the strength of scientific evidence.

#### Strengths and limitations

This review provides a great insight into the published literature that evaluated the effects of interventions to improve antimicrobial use in RACFs. The review draws attention to issues which have implications for practice and research. Concurrently, the findings of this study should be viewed considering some limitations. Most studies in this review were of a moderate quality which may limit the applicability of findings in the clinical setting. Given a relatively small number of studies and a significant variation in interventions, caution should be made while interpreting the results. About half of the studies used quasi-experimental and uncontrolled before/after design that are at high risk of bias. Hence, the successful interventions using these designs should be interpreted with caution. Grey literature, unpublished studies, and articles written in languages other than English were not included in this study. Despite a comprehensive review process for study selection, the possibility to exclude relevant articles inadvertently remains.

# CONCLUSION

This review shows that educational interventions are most effective when combined with other interactive interventions. The majority of educational interventions are aimed at improving the knowledge the health professionals about antibiotic use. Multifaceted interventions targeting the prescribing behaviour may successfully improve antimicrobial stewardship in RACFs. Future studies should use more robust study design to enhance the quality of evidence. More research is needed to design, implement and evaluate innovative educational and non-educational stewardship interventions, and to investigate the facilitators and barriers to implementation.

#### **ABBREVIATIONS**

AMS: Antimicrobial Stewardship AMR: Antimicrobial Resistance RACFs: Residential Aged Care Facilities WHO: World Health Organization LRTIs: Lower Respiratory Tract Infections UTIs: Urinary Tract Infections SSTIs: Skin and Soft Tissue Infections MeSH: Medical Subject Heading

**PRISMA**: Preferred Reporting Items for Systematic Reviews and Meta-analyses **EPHPP**: Effective Public Health Practice Project **ITS**: Interrupted Time Series

#### **COMPETING INTERESTS**

The author(s) declare that they have no competing interests.

## **AUTHORS' CONTRIBUTIONS**

All the authors have participated in manuscript preparation, Manuscript review, Design, Literature search, Manuscript editing. All authors read and approved the final version of manuscript.

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